Financial Life Planning
Fee Schedule

Service Level I

Service Level I is designed for clients who are interested in singular strategies that address specific areas of concern.

Personal Financial Website
Monthly Newsletter
Budget Review
Large Purchase plan
Debt Strategy
401k / 403b Review
Annual Review

Specific strategies in:

- ☐ Mutual funds, ETFS
- ☐ Life insurance, fixed and variable
- ☐ 529 plans, education savings
- ☐ Annuities, fixed and variable
- ☐ Disability income insurance
- ☐ Long-term care insurance

Alternative investments in:

- ☐ Managed futures
- ☐ REITs
- ☐ Oil and gas
- ☐ Leasing
- ☐ Private equity

Service Level II

Service Level II is designed for clients who desire comprehensive financial planning and an ongoing relationship.

Services include Level I, plus:

- ☐ Comprehensive review of financial data and financial plan
- ☐ Risk profile assessment
- ☐ Investment Policy Statement (IPS)
- ☐ Match objectives with portfolios
- ☐ Model asset allocation portfolios
- ☐ Fee-based programs
- ☐ Implement financial planning strategies
- ☐ Optional tax, income, hedging, and protection strategies
- ☐ Portfolio performance reports
- ☐ Quarterly monitoring of models
- ☐ Quarterly contact by phone, email, and/or newsletter
- ☐ Monthly statements
- ☐ Portfolio performance reports
- ☐ Annual monitoring of risk profile
- ☐ Semi-Annual portfolio review

HAPPY VALLEY
FINANCIAL SERVICES LLC

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Service Level III

Service Level III offers clients our highest level of contact and hands-on association, as well as increased attention and sophistication in the financial planning process, asset management, and specialized planning.

Services include Level I and Level II, plus:

- ☐ Written financial plan
- ☐ Online Access to financial plan
- ☐ Invites to Educational Seminar’s
- ☐ Income needs analysis
- ☐ Cash management and liquidity
- ☐ Separate account money management
- ☐ Individually customized portfolios
- ☐ Tax planning
- ☐ Personal values investing
- ☐ Quarterly portfolio review
- ☐ Ongoing monitoring of financial situation
- ☐ Direct assistance working with specialist advisors (e.g., accountants, attorneys)
- ☐ Specialized planning
    - ☐ Business succession
    - ☐ Estate planning
    - ☐ Social Security
    - ☐ Education funding
    - ☐ Charitable giving
    - ☐ Special needs
    - ☐ Legacy planning

Pricing Model

Service Leve I	Service Level II	Service Level III
Initial Fee (Client Entered Data) $250	Initial Fee (Client Entered Data) $500	Initial Fee (Client Entered Data) $750
Initial Fee (Advisor Entered) $500	Initial Fee (Advisor Entered) $750	Initial Fee (Advisor Entered) $1,000
Monthly Ongoing $75	Monthly Ongoing $100	Monthly Ongoing $150

Putting the Personal Back in Personal Finance

HappyValleyFinancialServices.com

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